

SUBSCRIPTION FORM
(PART A) NON-PROFESSIONAL LEGAL ENTITY



CLIENT'S INFORMATION

Name of the entity			Business ID
Name and social security number of the person who acts on behalf of another person			Domicile
Address	Postal code	City	Tax residency
Email			Telephone
Bank account (IBAN)			Bank

Is the subscription made by a nominee acting on behalf of the client? No Yes

If the investment is made in the form of nominee, the nominee acting on behalf of the underlying client confirms that the underlying client is not a Finnish investor and agrees if requested by GRIT Fund Management Company to provide GRIT Fund Management Company with necessary information about the underlying client.

SUBSCRIPTION – AGCM DRAGON FUND AIF

Fund Unit Class A (SEK) Fund Unit Class B (EUR)

Subscription account (SEK) (IBAN) FI90 3301 0005 1049 06 (SEB)
Subscription account (EUR) (IBAN) FI05 3301 0001 1702 73 (SEB)
Subscription day Last Finnish business day each month (business day refers to official business days in Finland)
Cut-off time for subscription The business day preceding the subscription day

The subscriber shall place a written subscription order by submitting a completed subscription form including attachments to GRIT Fund Management Company by mail or e-mail. Moreover, the subscriber shall transfer the whole subscription amount to the subscription account. GRIT Fund Management Company needs to receive the subscription order before the cut-off date mentioned above. The message of the transfer shall include the following information on the subscriber: complete name, social security number and phone number. The recipient of the payment is GRIT Fund Management Company Ltd.

The form is intended for non-professional investors. Non-professional investors refers to investors fulfilling the criteria for non-professional investors in the markets for financial instruments directive MiFID.

Mandatory appendices

- A copy of a trade register extract (not older than 3 months) or corresponding document,
- A certified copy/copies of valid ID(s) of the person(s) acting on behalf of the entity,
- A copy of the document displaying their competence to act on behalf of the entity (board resolution or power of attorney) if the competence is not verified in the trade register extract,
- A signed KYC form (Part B), and
- A signed self-certification form containing information on the Entity's tax status (FATCA & CRS, part C).

SUBSCRIPTION FORM

(PART B) KYC, LEGAL ENTITY



KYC

GRIT Fund Management Company is obliged to - based on legislation on anti-money laundering and the prevention of terrorism financing - know its customers and find out the origin of clients' assets that are used in the investment activity. Please complete the following:

Entity's main business			
Revenue	Balance	Equity	Operating profit/loss
Name of the person acting on behalf of the company/organization		Social security number of the person acting on behalf of the company/organization	
Source of the assets used for the investment <input type="checkbox"/> Business revenues <input type="checkbox"/> Cash flow <input type="checkbox"/> Other, please define: _____		Investment purpose and objective <input type="checkbox"/> General investment purposes <input type="checkbox"/> Other, please define: _____	

BENEFICIAL OWNERS

Does anyone hold more than 25 % of the shares and/or voting rights in the entity or otherwise have control of the entity?

No Yes, please provide information below

Regarding nonprofit organizations the names of the board members must be stated. If there are more names than there are available rows in this form, the information may be provided in a separate attachment.

Name: _____	SSN/Company reg no: _____	Share: _____
Name: _____	SSN/Company reg no: _____	Share: _____
Name: _____	SSN/Company reg no: _____	Share: _____

Is someone of the beneficial owners a politically exposed person (PEP) in Finland or abroad, or is their spouse/partner, parent, child or business associate a politically exposed person?

No Yes, please provide an explanation: _____

"Politically exposed person" (PEP) is a term describing someone who has been entrusted with a prominent public function within preceding 12 months. Prominent public functions include e.g., a member of parliament, board member of a political party, a judge in high court, member of the investment board of a state-owned company, a head of central bank, a deputy director or board member of an international organization.

DATA PROTECTION

GRIT Fund Management Company processes your personal data to provide you with fund investment services, to manage its client relations with you and to comply with its regulatory requirements. Personal data is processed according to applicable laws. Before making a subscription to a fund, we would like to kindly ask you to familiarize yourself with the data protection policy, which is also available at www.gritfundservices.fi/privacy. The policy contains further information on how the Fund Management Company processes its client's personal data, what rights the registered data subjects have and how they can exercise their rights

CLIENT'S SIGNATURE

We have familiarized ourselves with or we have had the opportunity to familiarize ourselves with the key investor information document, the prospectus, and the fund rules. We hereby confirm that the information we have provided is correct and we undertake to notify the Fund Management Company of any future changes.

Place and date	Place and date
Signature and printed name	Signature and printed name

Asia Growth Capital Management AB

Birger Jarlsgatan 10, 5tr, SE-114 34 Stockholm, Sverige | Organization number: 556950-9622 | www.agcm.se | Tel: +46 8 403 745 00

GRIT Fund Management Company Ltd

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SUBSCRIPTION FORM
(PART C) SELF CERTIFICATION (FATCA & CRS), LEGAL ENTITY



Financial institutions are required to collect and report, as requested certain information about their clients, such as the country of tax residency of the client to local competent authorities. This obligation is based on common reporting standard of the OECD, FATCA laws of the USA and local legislation. Financial institutions are required by FATCA to identify persons that are reporting to the USA.

If you are tax resident in another state than Finland and that state is participating in the international exchange of taxation information under CRS or FATCA regulative framework, GRIT Fund Management Company Ltd is obligated to convey information given on this self-certification form to third parties, including the Finnish tax authority, and annually declare to the Finnish tax authority all financial accounts (including those relating to fund units) you may hold directly or indirectly to fulfil its obligations. If this form does not suit the disclosure of your FATCA status, for example in the case of a non-participating financial institution, you may use a W-8BEN-E form instead.

This form shall be used by legal entities for the disclosure of FATCA and CRS related information. Please complete all sections, including date and signature.

Part 1. Client's information

Name of the company / entity	Business ID or equivalent
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Part 2. Country of tax residency

Please provide information on all the countries in which the entity is a tax resident. Also provide the entity's local Taxpayer Identification Number (TIN) if the country issues such numbers. Additional information on tax residency can be found on the information page of this form. Should you have any questions regarding the determination of a country of tax residence, please contact your taxation specialist or local tax authorities.

Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent
Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent
Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent

Part 3. Information related to the entity's operations

In this part we would like to kindly ask you to choose the correct alternative to describe the operations of the entity by ticking **ONE** (1) of the boxes in 3.1-3.3 below. Should you have any questions regarding the classification of the entity, please contact your taxation specialist or local tax authorities. More detailed definitions can be found in the FATCA and CRS agreements respectively.

<p>3.1 Financial institution</p> <p><i>The entity is a financial institution e.g., banks, specified insurance companies, funds, investment firms etc., according to one of the following two alternatives.</i></p> <p>Financial institution – specified investment entity</p> <p>A <input type="checkbox"/> The financial institution is a specified investment entity operating in a non-CRS signatory state and its assets are managed by another financial institution.</p> <p><i>If you chose this option, please go to Part 4 and provide information on the entity's controlling person(s).</i></p> <p>Financial institution – other financial institution</p> <p>B <input type="checkbox"/> The financial institution is other than the one described above. Provide your Global Intermediary Identification Number (GIIN) if you have one.</p> <p><i>If you chose this option, please provide the requested information below and go to part 5 for signature.</i></p> <table border="1"><tr><td>Entity's or sponsor's GIIN</td><td>Sponsor's name (relevant only for sponsored entities)</td></tr></table>	Entity's or sponsor's GIIN	Sponsor's name (relevant only for sponsored entities)
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(PART C) SELF CERTIFICATION (FATCA & CRS), LEGAL ENTITY



3.2 Active Non-Financial Entity (Active Non-financial Entity, NFE)

The entity is an Active Non-Financial Entity that does not have its tax residency in the USA, is not a financial institution, and fulfills **at least one (1)** of the following criteria:

- A The shares of the entity or its related entity (parent company or subsidiary) are traded on established securities markets.
- B The client is a governmental entity, an international organization, or a central bank.
- C The client is a trading company. More than 50 % of the entity's income derives from the sale of goods or services (i.e., not from passive income such as dividends or interest). Correspondingly, more than 50 % of the entity's assets are related to the sale of goods or services
- D The client is a start-up (for no longer than 2 years) or are liquidating or emerging from bankruptcy with the intent to continue or recommence operations in a business other than that of a financial Institution.
- E The client is a holding company whose main activities exclusively, or almost exclusively, consist of fully or partly owning the holdings of, or providing financing or services to its subsidiaries who may not be financial institutions.
- F The client is a holding company belonging to the same group as an NFE. The main activities consist of financing or hedging with or for related companies that are not financial institutions.
- G The client is a non-profit organization, a foundation, or a registered religious organization particularly exempt from income tax.

If you chose this option, please go to Part 5 for signature.

3.3 Passive Non-Financial Entity (Passive Non-Financial Entity, NFE)

The entity is not a financial institution (part 3.1) nor an active non-financial entity (part 3.2).

- A The client is a passive non-financial entity.

If you chose this option, please go to Part 4 and provide information on the entity's controlling person(s).

Part 4. Information about the controlling person(s)

If you chose option A in part 3.1 or 3.3, we would like to kindly ask you to provide information on all of the entity's controlling persons. A controlling person refers to a natural person who exercises control over the entity, who directly or indirectly has a holding of over 25 % in the entity, or who otherwise exercises comparable influence of the legal entity. Where necessary, please continue on the next page or use a separate form.

4.1.1 Controlling person (1)		
Last name	First name	Personal identity number or date of birth
Address		Domicile
Postal code	City	
Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent
Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent

FATCA STATUS

You are generally considered to have tax residency in the United States, if you were born in the U.S., you are a U.S. Citizen (including those with dual citizenships), you are a holder of a valid "U.S. Resident permit" or have a valid work permit ("Green Card").

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<p>Is the person's country of tax residency U.S. based on either U.S. citizenship or other grounds?</p> <input type="checkbox"/> I confirm that I am a tax resident of the United States, and that I have stated U.S as one of the countries in the section above and I have submitted my local TIN in the section above. <input type="checkbox"/> No, the person is not a U.S. citizen or resident in the U.S for tax purposes.		
4.1.2 Controlling person (2)		
Last name	First name	Personal identity number or date of birth
Address		Domicile
Postal code	City	
Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent
Country of tax residency	Taxpayer Identification Number (TIN) or equivalent	<input type="checkbox"/> Country does not issue a TIN/equivalent
FATCA STATUS		
<p>You are generally considered to have tax residency in the United States, if you were born in the U.S., you are a U.S. Citizen (including those with dual citizenships), you are a holder of a valid "U.S. Resident permit" or have a valid work permit ("Green Card").</p>		
<p>Is the person's country of tax residency the USA based on either US citizenship or other grounds?</p> <input type="checkbox"/> I confirm that I am a tax resident of the United States, and that I have stated U.S as one of the countries in the section above and I have submitted my local TIN in the section above. <input type="checkbox"/> No, I am not a U.S. citizen or resident in the U.S for tax purposes.		

Part 5. Confirmation and signature

<p>We declare that the information given on this form is, to the best of our knowledge and belief, accurate and complete. We undertake to submit to GRIT Fund Management Company Ltd ("GRIT") upon request any such documents and information as necessary in order for GRIT to fulfill its obligations under the FATCA and CRS regulations. We further undertake to provide GRIT with an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be inaccurate or incomplete. Where legally obliged to do so, I hereby consent to GRIT sharing this information with the relevant tax authorities. We also undertake to inform the persons whose personal data is registered and handled in relation to this of the purpose of and possibilities related to the transfer of information described above and person's right to obtain the registered information.</p>	
Date (dd.mm.yyyy)	Date (dd.mm.yyyy)
Signature (person authorised to sign for the account holder)	Signature (person authorised to sign for the account holder)
Printed name	Printed name